



Date of Meeting March 21, 2023

Subject 2023 Electric Utility Alberta Utilities Commission (AUC) Compliance Report

Submitted By Jason Drenth, General Manager - Electric Utility

Presented By Jason Drenth, General Manager - Electric Utility

Purpose

To present the 2022 Lethbridge Electric Utility Compliance Report on the Lethbridge Electric Utility Code of Conduct Plan

Recommendation(s)

That Council;

1. Approve the submission of the 2022 Code of Conduct Compliance Report, as provided in Attachment 1, to the Alberta Utilities Commission (AUC).

Summary

As per Subsection 33 (2) of the *AUC Code of Conduct Regulation*, the Lethbridge Electric Utility (LEU) is required to prepare a Code of Conduct Plan to ensure adherence to the AUC's Code of Conduct Regulation. A Compliance Report must be submitted annually by the LEU to the AUC summarizing compliance to the Code of Conduct Plan. This report summarizes the findings of compliance to the Plan in 2022 and must be presented to the LEU Board of Directors prior to the end of March for submission.

Strategic Alignment

Performance Excellence – this annual report is a commitment to report on a significant regulatory requirement. Tracking these important key performance indicators allows Electric to achieve performance excellence while maintaining the trust, respect and confidence of the community.

Background and Prior Decisions

This is an annual report that has been presented every year to City Council. The process has historically been for City Council to accept the report as information and approve filing the report with the AUC.

Analysis and Options

Approval, at the March 21 Meeting of Council, would grant the Lethbridge Electric Utility the ability to file with the AUC on time by March 31, 2023. A failure to approve would place the Electric Utility in a non-compliance situation with the AUC for not reporting on time as per the Regulations.

Implications

Community/Citizen

- Economic – This report highlights the ability of the Electric Utility to remain impartial and not show favoritism to any Retailer in the de-regulated electric industry.

Financial

- None

Risk

- Failing to file the 2022 Code of Conduct Compliance Report by the March 31, 2023 deadline could result in sanctions imposed upon the Lethbridge Electric Utility by the Market Surveillance Administrator

Corporate

- None

Engagement

- None

Implementation and Communication Plan

Once approved, the LEU will file the document with the AUC by the deadline of March 31, 2023, stating that the LEU Board of Directors has been presented with the 2022 Code of Conduct compliance report. The report will be kept on file with the AUC.

Attachment(s)

- 1. City of Lethbridge – Code of Conduct Regulation – Annual Compliance Report

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| <u>Approvals</u> | |
| City Treasurer: | |
| City Solicitor: | |
| Department Director: | Joel Sanchez |
| City Manager: | Lloyd Brierley |